

Please type a plus sign (+) inside this box

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5157
First Inventor KENNETH CARTER
Title EXERCISE MACHINE FOR EXERCISING UPPER BODY PORTIONS
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 9]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 11]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [5] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name

23512

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

EDWARD A. SOKOLSKI

Registration No. (Attorney/Agent)

18,738

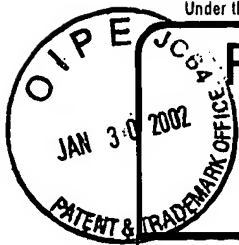
Signature

Edward A. Sokolski

Date

1/4/01

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PTO/SB/17 (11-00)
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Applicabon Number	
Filling Date	
Firat Named Inventor	KENNETH CARTER
Examiner Name	
Group Art Unit	
Attorney Docket No.	5157

TOTAL AMOUNT OF PAYMENT

(\$) 410.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-3129 (ANY DEFICIENCY)

Deposit Account Name EDWARD A. SOKOLSKI

☐ Charge, Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$)

101 710 201 355 Utility Filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

106 710 206 356 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

370.00

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Extra Claim	fee from below	Paid
Total Claims 7	-20 = 0	0
Independent Claims 0	3 . 0	0
Multiple Dependent		0

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

103 18 203 9

Claims in excess of 20

102 80 202 40

Independent claim in excess of 3

104 270 204 135

Multiple dependent claim if not paid

109 60 209 40

Reissue independent claims over original patent

110 16 210 9

Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

** or n vmbor previously paid, if greater; For Reissues see above

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

large Small
Entity Entity

Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 85 Surcharge - latefiling fee or oath

127 50 227 25 Surcharge- late provisional filing fee or cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 Forfiling a request for ex parte reexamination

112 920 112 920, RaquesEng publication dSIRpnorbo Examiner acdon

113 1,840 113 1-846*ReqUestingsPblicetionotSIRa'tar Examiner action

115 110 215 SS Extension for reply within first month

116 390 216 195 Extension for reply within second month

117 890 217 445 Extensio for reply within third month

118 1390 218 395 Extension for reply within forth month

12b 1 890 226 946 Extension for reply within fifth month

119 310 219 155 Notice of Appeal

120 310 220 166 Filing a brief in support of an appeal

138 1510 1381 510 Petition to institute public use proceeding

140 110 240 55 Petition to revivee-Unavoidable

141 1240 241 620 Petition to revive unintentional

142 1 240 242 620 Utility issue fee (or reissue)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 60 123 SO Processing 1ee Under37 CFR 1 17(q)

126 180 126 160 Submission of information disclosure statement

561 40 591 40 Recording each patent assignment per property (times number of properties)

40.00

46 710 246 356 Filing a submissionn after final rejection (37

149 710 249 355 For each additional invention to be

169 900 169 900 Request for expedited examination of a design application

Other Fee (specify) _ _ _ _ _

Reduced by Basic Filing Fee Paid

SUB TOTAL (3)

\$40.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	EDWARD A. SOKOLSKI	Registration No (Attorney/Agent)	18,738	Telephone	(310) 540-5631
Signature	<i>Edward A. Sokolski</i>	Date	1/4/02		

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